

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
114855-004

In Re Application Of: **Howard M. Marks et al.**

Serial No. **10/650,247**

Filing Date
August 28, 2003

Examiner
Unknown

Group Art Unit
3713

Title: **GAMING DEVICE HAVING MULTIPLE SYMBOLS AT A SINGLE SYMBOL POSITION**

Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
114855-004

In Re Application: **Howard M. Marks et al.**

Serial No.

10/650,247

Filing Date

August 28, 2003

Examiner

Unknown

Group Art Unit

3713

GAMING DEVICE HAVING MULTIPLE SYMBOLS AT A SINGLE SYMBOL POSITION

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818**
as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

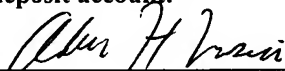
I certify that this document and fee is being deposited on **March 5, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Karin Barrett

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**



Signature

Dated: **March 5, 2004**

Adam H. Masia, Reg. 35,602
BELL, BOYD & LLOYD LLC
P.O. Box 1135
Chicago, IL 60690-1135
(312) 807-4284

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Howard M. Marks et al.
Appl. No.: 10/650,247
Filed: August 28, 2003
Title: GAMING DEVICE HAVING MULTIPLE SYMBOLS AT A SINGLE
SYMBOL POSITION
Art Unit: 3713
Examiner: Unknown
Docket No.: 114855-004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,209,479	May 11, 1993	Nagao et al
5,393,061	February 18, 1995	Manship et al.
5,395,111	March 7, 1995	Inoue
5,449,173	September 12, 1995	Thomas et al.
5,611,535	March 18, 1997	Tiberio
5,752,881	May 19, 1998	Inoue
5,769,716	June 23, 1998	Saffari et al.
5,890,962	April 6, 1999	Takemoto
5,997,401	December 17, 1999	Crawford
6,027,115	February 22, 2000	Griswold et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,033,307	March 7, 2000	Vancura
6,056,642	May 2, 2000	Bennett
6,059,289	May 2, 2000	Vancura
6,120,378	September 19, 2000	Moody
6,165,070	December 26, 2000	Nolte et al.
6,190,254	February 20, 2001	Bennett
6,220,959	April 24, 2001	Holmes, Jr. et al.
6,270,411	August 7, 2001	Gura et al.
6,311,976	November 6, 2001	Yoseloff et al.
6,364,766	April 2, 2002	Anderson et al.
6,439,993	August 27, 2002	O'Halloran
6,561,900	May 13, 2003	Baerlocher et al.
6,551,187	April 22, 2003	Jaffe
6,616,142	September 9, 2003	Adams
2002/0094857	July 18, 2002	Meyer
2004/0009803	January 15, 2004	Bennett et al.
2004/0023714	February 5, 2004	Asdale

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
AU199717601 B2	September 10, 1997	Australian
EP0060019	September 15, 1982	EPO
EP0410789 A2/A3	July 27, 1990	EPO
EP0984408 A2	March 8, 2000	EPO
EP1205984 A2	October 2, 2001	EPO
WO00/66235	November 9, 2000	PCT
WO00/76606 A1	December 21, 2000	PCT

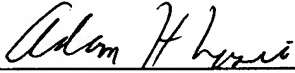
OTHER DOCUMENTS

Slot Machines Article	by Marshall Fey	published 1983, 1989, 1991, 1994 and 1997. available in 1986.
REEL MAGIC™ Gaming Machine Description	written by IGT	
Penguin Pays Advertisement	written by Aristocrat Incorporated	published in 1998.
Wild Streak Advertisement,	written by WMS Gaming, Inc.	published in 2001.
Enchanted Unicorn Advertisement	written by IGT	published in 2001.

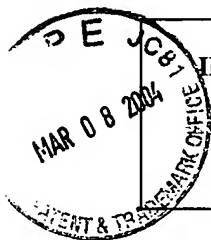
Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 
Adam H. Masia
Reg. No.35,602
P.O. Box 1135
Chicago, Illinois 60690-1135
Phone: (312) 807-4284

Dated: March 5, 2004



**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.
114855-004

Application No.
10/650,247

Applicant
Howard M. Marks et al.

Filing Date
August 28, 2003

Group
3713

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,209,479	May 11, 1993	Nagao et al			
	5,393,061	February 18, 1995	Manship et al.			
	5,395,111	March 7, 1995	Inoue			
	5,449,173	September 12, 1995	Thomas et al.			
	5,611,535	March 18, 1997	Tiberio			
	5,752,881	May 19, 1998	Inoue			
	5,769,716	June 23, 1998	Saffari et al.			
	5,890,962	April 6, 1999	Takemoto			
	5,997,401	December 17, 1999	Crawford			
	6,027,115	February 22, 2000	Griswold et al.			
	6,033,307	March 7, 2000	Vancura			
	6,056,642	May 2, 2000	Bennett			
	6,059,289	May 2, 2000	Vancura			
	6,120,378	September 19, 2000	Moody			
	6,165,070	December 26, 2000	Nolte et al.			
	6,190,254	February 20, 2001	Bennett			
	6,220,959	April 24, 2001	Holmes, Jr. et al.			
	6,270,411	August 7, 2001	Gura et al.			
	6,311,976	November 6, 2001	Yoseloff et al.			
	6,364,766	April 2, 2002	Anderson et al.			
	6,439,993	August 27, 2002	O'Halloran			
	6,561,900	May 13, 2003	Baerlocher et al.			
	6,551,187	April 22, 2003	Jaffe			
	6,616,142	September 9, 2003	Adams			
	2002/0094857	July 18, 2002	Meyer			
	2004/0009803	January 15, 2004	Bennett et al.			
	2004/0023714	February 5, 2004	Asdale			

Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.
114855-004

Application No.
10/650,247

Applicant
Howard M. Marks et al.

Filing Date
August 28, 2003

Group
3713

FOREIGN PATENT DOCUMENTS

Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
		AU199717601 B2	September 10, 1997	Australian				
		EP0060019	September 15, 1982	EPO				
		EP0410789 A2/A3	July 27, 1990	EPO				
		EP0984408 A2	March 8, 2000	EPO				
		EP1205984 A2	October 2, 2001	EPO				
		WO00/66235	November 9, 2000	PCT				
		WO00/76606 A1	December 21, 2000	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner's Initials	
	Penguin Pays Advertisement, written by Aristocrat Incorporated, published in 1998.
	Wild Streak Advertisement, written by WMS Gaming, Inc., published in 2001.
	REEL MAGIC™ Gaming Machine Description, written by IGT, available in 1986.
	Slot Machines Article, by Marshall Fey, published 1983, 1989, 1991, 1994, and 1997.
	Enchanted Unicorn Advertisement, written by IGT, published in 2001.

Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.